



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/003,869

01/07/98

ROBERT

N

031/131

0232/0400

BRADFORD J. DUFF  
SUITE 4700  
603 WEST FIFTH STREET  
LOS ANGELES CA 90071

NOT ASSIGNED

1801  
DATE MAILED:

98 AUG 10 PM 2:52  
GROUP 180  
RECORDED

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 15.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration: 09003869

- ☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."  
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**



Docket: 231/181

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: ) Group Art Unit: 1801  
)  
Beeley et al. ) Examiner: To be assigned  
)  
Serial No. 09/003,869 )  
)  
Filed: January 7, 1998 )  
)  
For: USE OF EXENDINS AND AGONISTS )  
THEREOF FOR THE REDUCTION OF )  
FOOD INTAKE )

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GROUP 180

**SUBMISSION OF MISSING PARTS AND RELATED  
APPLICATION PAPERS**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(d)**, which was mailed on April 3, 1998, enclosed are:

- ☒ Combined Declaration and Power of Attorney
- ☒ Recordation Form Cover Sheet (Patents Only)
- ☒ Assignment of the Invention to AMYLIN PHARMACEUTICALS, INC.
- ☐ Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(c).

**1. REQUEST FOR EXTENSION OF TIME:**

- ☒ Applicant requests an **Extension of Time** of two (2) months to respond; the requisite fee has been calculated as shown below:

	Small Entity	Large Entity
<input type="checkbox"/> 1 month	\$ 55.00	\$ 110.00
<input checked="" type="checkbox"/> 2 months	\$ 200.00	\$ 400.00
<input type="checkbox"/> 3 months	\$ 475.00	\$ 950.00
<input type="checkbox"/> 4 months	\$ 755.00	\$ 1510.00
<b>Total Fee Due</b>	<b>\$ 200.00</b>	<b>\$ _____</b>

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

July 31, 1998  
date of deposit

Sheryl Schoen  
name of person mailing paper  
Sheryl Schoen  
signature of person mailing paper

**2. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

<b>BASIC FILING FEE</b>	<b>\$</b>	<b>0.00</b>
Total Claims:      ___ - (20) = ___ x \$ 22 (extra fee charge) =	<b>\$</b>	<b>0.00</b>
Independent Claims:      ___ - ( 3) = ___ x \$ 80 (extra fee charge) =	<b>\$</b>	<b>0.00</b>
Multiple Dependent Claims      ___ \$260 (if applicable) =	<b>\$</b>	<b>0.00</b>
Surcharge under 37 CFR 1.16(e)	<b>\$</b>	<b>130.00</b>
<b>TOTAL OF ABOVE CALCULATIONS</b>	<b>\$</b>	<b>130.00</b>
Reduction by 1/2 for Filing by <b>SMALL ENTITY</b> . Note 37 CFR 1.9, 1.27, 1.28.		
If application, Verified Statement must be attached	<b>-\$</b>	<b>65.00</b>
Miscel. Filing Fees (Recordation of Assignment)	<b>\$</b>	<b>40.00</b>
<b>TOTAL FEES</b>	<b>\$</b>	<b>305.00</b>

**3. METHOD OF PAYMENT OF FEES**

\_\_\_ A check in the amount of \$\_\_\_  
xx Charge Deposit Account No. **01-0535** in the amount of \$305.00. A duplicate copy of this Transmittal is enclosed.

**4. AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 01-0535**

XX The Commissioner is authorized to charge Counsel's Deposit Account No. 01-0535 for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, or to credit any overpayments to said Deposit Account.

Respectfully submitted,

LYON &amp; LYON

Dated: 7/30/98By: Nancy K. Dahl  
 Nancy K. Dahl  
 Reg. No. 33,671
 1006-1160  
 98 AUG 10 PM 2:52  
 GROUP 180

 First Interstate World Center  
 633 West Fifth Street, Suite 4700  
 Los Angeles, CA 90071-2066  
 Telephone: (213) 489-1600  
 Facsimile: (213) 955-0440



2921

SECTOR #

Patent  
231/181

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ) Group Art Unit 1801  
)  
Beeley et al. ) Examiner  
)  
Serial No. 09/003,869 )  
)  
Filed: January 7, 1998 )  
)  
For: USE OF EXENDINS AND )  
AGONISTS THEREOF FOR THE  
REDUCTION OF FOOD INTAKE )

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GROUP 180

TRANSMITTAL LETTER

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-identified application are the following documents:

- Response to Missing Parts (in duplicate);
- Petition for Extension of time;
- Combined Declaration and Power of Attorney;

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

July 31, 1998  
date of deposit

Sheryl Schoen  
name of person mailing paper  
Sheryl Schoen  
signature of person mailing paper

- Assignment;
- Assignment Recordation Sheet (in duplicate);
- Copy of Notice;
- Request for Corrected Filing Receipt;
- Copy of filing receipt; and
- Return reply postcard.

The Commissioner is authorized to charge any fees associated with the present filing to Deposit Account No. 01-0535.

Respectfully submitted,

Dated: 7/30/98

By Nancy K. Dahl  
Nancy K. Dahl  
Registration No. 33,671

LYON & LYON, LLP  
First Interstate World Center  
633 West Fifth Street, Suite 4700  
Los Angeles, CA 90071-2066  
(619) 552-8400